

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY/AGENT NUMBER AERX-11
INTERNATIONAL APPLICATION NO. EP03/02876		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/506,959
INTERNATIONAL FILING DATE 3/18/2003		PRIORITY DATE CLAIMED March 20, 2002
TITLE OF INVENTION NOVEL DEVICE		
APPLICANT(S) FOR DO/EO/US ANDERSON, GREGOR JOHN McLENNAN MITCHELL, JOHN		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office(RO/US)</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Petition for Extension of Time; Copy of Notification of Missing Requirements; postcard</p>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/506,959		EP03/02876		AERX-115	

The following fees are submitted: 21. <input type="checkbox"/> Basic national fee.....\$300.00				CALCULATIONS		PTO USE ONLY	
				\$			
22. <input type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)\$100.00 All other situations\$200.00				\$			
23. <input type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100.00 International Search Report prepared and provided to the office\$400.00 All other situations\$500.00				\$			
TOTAL OF 21, 22 and 23 =				\$			
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets or paper or fraction thereof.							
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE				
- 100 =	/50 =		X \$250.00	\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$			
Total Claims	30 - 30 =	0	x \$50.00	\$			
Independent Claims	3 - 3 =	0	x \$200.00	\$			
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$360.00	\$			
TOTAL OF ABOVE CALCULATIONS =				\$			
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							
SUBTOTAL =				\$ 65.00			
Petition for Extension of Time Fee (five months)				+		\$ 1080.00	
TOTAL NATIONAL FEE =				\$ 1145.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+		\$	
TOTAL FEES ENCLOSED =				\$ 1145.00			
65.00 OP				Amount to be refunded:		\$	
				Amount to be charged:		\$1145.00	

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 50-0815 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

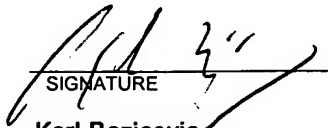
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0815. A duplicate copy of this sheet is enclosed.

d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Bozicevic, Field & Francis, LLP
 1900 University Avenue, Suite
 200
 East Palo Alto
 California
 94303


 SIGNATURE
 Karl Bozicevic
 NAME
 28,807
 REGISTRATION NUMBER

09/21/2005 L
 01 FC:2617



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/506,959	FIRST NAMED APPLICANT Gregor John McLennan Anderson	ATTY. DOCKET NO. AERX-115
---	--	------------------------------

24353
BOZICEVIC, FIELD & FRANCIS LLP
1900 UNIVERSITY AVENUE
SUITE 200
EAST PALO ALTO, CA 94303

RECEIVED

MAR 01 2005

Bozicevic, Field, & Francis

INTERNATIONAL APPLICATION NO.

PCT/EP03/02876

LA. FILING DATE

03/18/2003

PRIORITY DATE

03/20/2002

CONFIRMATION NO. 6929

371 FORMALITIES LETTER



OC000000015193799

Date Mailed: 02/18/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/07/2004
- Copy of the International Search Report filed on 09/07/2004
- U.S. Basic National Fees filed on 09/07/2004
- Priority Documents filed on 09/07/2004

6/03/05
DOCKETED
HP 04/18/05
UD 09/18/05

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/506,959	PCT/EP03/02876	AERX-115

FORM PCT/DO/EO/905 (371 Formalities Notice)

OCT-04-2005 14:37 From: BFF LLP

6503273231

To: USPTO

P.2/2



UNITED STATES
PATENT AND
TRADEMARK OFFICE

MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

BOZICEVIC, FIELD & FRANCIS, LLP
ACCOUNTING
200 MIDDLEFIELD ROAD
SUITE 200
MERID PARK CA 94025

FINA

Under Secretary of Commerce for Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

Account No.	500815
Date	9-30-04
Page	4

PLEASE SEND REMITTANCES TO:
U. S. Patent and Trademark Office
P.O. Box 70541
Chicago, IL 60673

DATE POSTED	CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO. DAY YR.						
9 10 04	140	09935061	STAN-213	2401	165.00	2343.00
9 10 04	140	10933025	UCAL-250CON	8021	40.00	2383.00
9 10 04	141	10857137	CELL-0261P	2051	65.00	2448.00
9 10 04	142	1070197	AERX-058CONS	1202	468.00	2916.00
9 10 04	284	09946715	LIFE-038	1501	1330.00	4246.00
9 10 04	285	09946715	LIFE-038	1501	300.00	4546.00
9 10 04	286	09946715	LIFE-038	8001	30.00	4616.00
9 10 04	287	10025936	STAN066DIV	2501	665.00	5281.00
9 10 04	288	10025936	STAN066DIV	1504	800.00	6081.00
9 10 04	289	10025936	STAN066DIV	8001	30.00	6111.00
9 10 04	333	76394732	BEAR-TOT2	7004	50.00	6161.00
9 10 04	367	76394732	BEAR-TOT2	7003	100.00	6261.00
9 10 04	392	76177317	BEAR-TOT2	7003	100.00	6361.00
9 13 04	4	0984292	1358401	2014	-25.00	6336.00
9 13 04	20	10803458	TOK-006CO	2201	40.00	6376.00
9 13 04	21	10803458	TOK-006CO	2202	90.00	6466.00
9 13 04	139	10933855	RISL-012CON	8021	40.00	6506.00
9 13 04	197	PCT/US04/28970	SEEK-008WO	1001	300.00	6806.00
9 13 04	198	PCT/US04/28970	SEEK-008WO	1002	1000.00	7806.00
9 13 04	199	PCT/US04/28970	SEEK-008WO	1001	1053.00	8859.00
9 13 04	200	PCT/US04/28970	SEEK-008WO	1003	168.00	9027.00
9 13 04	201	PCT/US04/28970	SEEK-008WO	8007	20.00	9047.00
9 13 04	234	PCT/US04/07509	NTM-029WO	1005	600.00	9647.00
9 13 04	235	PCT/US04/07509	NTM-029WO	1005	162.00	9809.00
9 13 04	266	PCT/US04/04369	CHOR-005WO	1005	600.00	10409.00
9 13 04	267	PCT/US04/04369	CHOR-005WO	1005	162.00	10571.00
9 14 04	22	10938227	CELL-014CON	2001	385.00	10956.00
9 14 04	23	10938227	CELL-014CON	2202	720.00	11676.00
9 14 04	204	10506959	AERX-115	1613	920.00	12596.00
9 14 04	205	10506959	AERX-115	1615	180.00	12776.00
9 14 04	206	10506959	AERX-115	1616	290.00	13066.00
9 15 04	1	09812485	BEAR-GEN-1	2201	43.00	13109.00
9 15 04	4	10057846	SMAR-020	2253	475.00	13584.00
9 15 04	6	10938965	LIFE-038BT	1001	70.00	13654.00
9 15 04	18	60609446	TOSK-038PRV4	1005	80.00	13734.00
9 15 04	23	60609083	FOS-004PRV	2005	80.00	13814.00
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT			OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE